## 1. Addendum: More on Basis and Dimension

(This material appears in Section 2 of the Study Guide, but in Chapter 1 of the text.)

## Theorems about dimension from Chapter 1

- If  $X \subseteq V$  is a subspace, then  $\dim(X) \leq \dim(V)$ . Moreover, if  $\dim(V) < \infty$ , then  $\dim(X) = \dim(V)$ if and only if X = V.
- Let V be a vector space with  $\dim(V) = n$ , and let  $S \subseteq V$  be a set of m distinct vectors in V.
  - If m < n, then S cannot span V.
  - If m > n, then S cannot be linearly independent.

Challenge: Explain how the following "two-out-of-three theorem" follows from the results above.

Two-out-of-three Theorem for Sets: Let V be a vector space, and let  $S \subseteq V$  be a set of n distinct vectors in V. If any two of the following conditions hold, then all three hold (and S is a basis for V).

12 = S is a basis of V => dim (V)=n

- (1) S is linearly independent.
- (2) S spans V.
- (3)  $\dim(V) = n$ .

(5) 
$$\dim(V) = n$$
.  
1\$3  $\Rightarrow$  S is a basis for Span(S)  $\Rightarrow$  Lin (Span(S)) = n = dim(V)  
Span(S) subspace of V  $\Rightarrow$  Span(S) = V

273: Suppose Sis not linearly ind. Then Farm, ant R not all ters s.t. a, V, +... + a, Vn = 0. Suppose ax +0. Then Vx = - ax (V, +... + Ve, + Vu+1+... + Vn), i.e.  $V_{k} \in Span(S^{1})$  when  $S^{1} = S \setminus EV_{k}S$ . It then follows that  $Span(S^{1}) = Span(S) = V_{k}$ But then V has a spanning set of size n-1 < n = dim(V), which is a contradiction. So, S must be lin-ind.

**Ex. 1.** Let V be a vector space. Is the set  $\{x, 1+x, -1-2x+x^2\}$  a basis of  $P_2(\mathbb{R})$ ? Justify your answer.

Let 
$$\alpha_1, \alpha_2, \alpha_3 \in \mathbb{R}$$
 be such that  $\alpha_1 \times + \alpha_2 (1+x) + \alpha_3 (-1-2x+x^2) = 0$ ,

Combining like terms, 
$$(a_2-a_3)+(a_1+a_2-2a_3)\times+a_3\times^2=0$$
.

Combining like terms, 
$$(a_2-a_3) + (a_1+a_2-2a_3) + (a_1+a_3-2a_3) + (a_1+a_3-2a_3) + (a_1+a_3-2a_3) + (a_1+a_3-2a_3) + (a_1$$

Thus,  $\alpha_1 = \alpha_2 = \alpha_3 = 0$  and so S is linearly independent. Since dim (P2(IR))=3 and S has 3 elements, S must span P2(IR) as well. Therefore,

## 2. Linear Transformations

(References: Comps Study Guide for Linear Algebra Section 2; Damiano & Little, A Course in Linear Algebra, Chapter 2)

<u>Linear Transformations</u>: Let V and W be vector spaces and  $T: V \to W$ . Write down the condition(s) that T must satisfy in order to be linear.

For all 
$$x,y \in V$$
 and  $C \in \mathbb{R}$ , we must have  $T(cx+y)=cT(x)+T(y)$ .  
This single condition can be replaced with  $OT(x+y)=T(x)+T(y)$  and  $OT(x+y)=T(x)+T(y)$ .

Rml: We say that T respects (or preserves) the addition & scalar multiplication.

**Ex. 2.** Let T be a linear transformation from  $\mathbb{R}^2$  to  $\mathbb{R}^2$ . Suppose that T(1,2)=(3,4) and T(2,3)=(1,1). Find T(x,y) for  $(x,y) \in \mathbb{R}^2$ .

We know T(1,2) and T(2,3) so we write (X1y) in terms of these vectors.

Set 
$$(x,y) = c_1(1/2) + c_2(2/3) = (c_1 + 2c_2, 2c_1 + 3c_2)$$
. Then
$$\begin{cases} c_1 + 2c_2 = x & -2EI + E2 \rightarrow E2 \\ 2c_1 + 3c_2 = y \end{cases} \begin{cases} c_1 + 2c_2 = x & \Rightarrow c_2 = 2x - y \\ -c_2 = -2x + y \end{cases} c_1 = x - 2(2x - y)$$

$$= -3x + 2y$$

So, (x,y)= (-3x+2y) (1,2) + (2x-y)(2,3). Applying T, we have T(x,y) = T(-3x+2y)(1,2) + (2x-y)(2,3)

$$= (-3x^{+2}y) T(1,2) + (2x-y) T(2,3)$$

$$= (-3x+2y)(3,4) + (2x-y)(1,1).$$

$$= \begin{pmatrix} -9x + 6y, -12x + 8y \end{pmatrix} + \begin{pmatrix} 2x - y, 2x - y \end{pmatrix}$$

$$= \begin{pmatrix} -7x + 5y, -10x + 7y \end{pmatrix}. \quad \text{This}, \quad T(x, y) = \begin{pmatrix} -7x + 5y, -10x + 7y \end{pmatrix}.$$
**Ex. 3.** Let  $T: V \to W$  be a linear transformation between vector spaces  $V$  and  $W$ . Prove that  $T(\vec{0}_V) = \vec{0}_W$ .

(Note: This is a very commonly used result, which you should remember.)

01+01=01, we have T(01+01)=T(01). Since T is linear, 2012 this becomes  $T(O_V) + T(O_V) = T(O_V)$ . Adding  $-T(O_V)$  to both sides, T(01) + T(01) + -T(01) = T(01) + -T(01)T(01) + 0w = 0w. Sing T(01) + 0w = T(01), T(01) = 0w.

Kernel (Nullspace): Let  $T: V \to W$  be a linear transformation. Write down a definition of the kernel of T.

<u>Nullity</u>: The dimension of the kernel of T,  $\dim(\ker(T))$ , is called the nullity of T.

Image (Range): Let  $T: V \to W$  be a linear transformation. Write down a definition of the image of T.

 $\underline{\operatorname{Rank}}$ : The dimension of the image of T,  $\dim(\operatorname{Im}(T))$ , is called the rank of T.

<u>Matrices</u>: Let  $A \in M_{m \times n}(\mathbb{R})$  be an  $m \times n$  matrix. Then  $T : \mathbb{R}^n \to \mathbb{R}^m$  defined by T(x) = Ax is linear. In this case, the image of T is the span of the columns of A, and so is called the column space of A.

**Ex.** 4. Let 
$$A = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 \\ 3 & 3 & 3 & 3 & 3 \\ 5 & 5 & 7 & 7 & 7 \end{bmatrix}$$
.

- (a) Find a basis for the column space of A.
- (b) Find a basis for the null space (or kernel) of A.
- (c) Find the general solution of the equation  $A\vec{x} = \vec{0}$ .

c) By part b, the general solution of  $A\vec{x} = \vec{b}$  is  $X_{L}\begin{pmatrix} -1 \\ 2 \end{pmatrix} + X_{Y}\begin{pmatrix} 0 \\ -1 \end{pmatrix} + X_{S}\begin{pmatrix} 0 \\ 1 \end{pmatrix}$  for  $X_{2}, X_{1}, X_{S} \in \mathbb{R}$ 

<u>One-to-one</u>: Let  $T: V \to W$ . Write down what it means to say that T is one-to-one (injective).

Onto: Let  $T: V \to W$ . Write down what it means to say that T is onto (surjective).

For all 
$$v \in W$$
. Write down what it means to say that  $T$  is onto (surjective). For all  $v \in W$ , there exists a  $v \in V$  such that  $T(v) = W$ ,  $\binom{ov}{lm(\tau)} = W$ )

Isomorphism: A linear transformation that is both one-to-one and onto is called an isomorphism.

Ex. 5. Let V and W be vector spaces and let T be a linear transformation from V to W. Prove that T is one-to-one if and only if  $\ker(T) = {\vec{0}_V}$ . (Note: This is a commonly used result, which you should

=> First suppose that I is one-to-one, Since I is linear, we already know that Ove Kert and so EO13 = Ker(T). For the reverse containment, let  $x \in Ker(T)$ . Then  $T(x) = O_W$ . But  $T(o_V) = O_W = T(x)$  and sing T is one-to-one, we must have X=Ov. Thus, Ker(T) = £0,13. So, Ker(T) = £0,13. ← Nou suppose that Ker (T) = { o's}. We want to show that T is one-to-one, So suppose that T(V1)=T(V2) for V1, V2 EV. Adding -T(V2) to both sides, we have  $T(V_1)+-T(V_2)=O_W$  and since T is linear,  $T(V_1+-V_2)=O_W$ . Then Vi+-V2 E Ker(T) = 8013. Thus, Vi+-V2 = OV which implies that Vi=V. thus, T is one-to-one.

**Ex. 6.** Let  $T:V\to V$  be a linear transformation on a finite dimensional vector space V. Suppose that T is one-to-one. Prove that if  $\{v_1, v_2, \dots v_n\}$  is a basis for V then  $\{T(v_1), T(v_2), \dots T(v_n)\}$  is also a basis for V.

Suppose that Evin, vn3 is a basin for V. We want to show that Et(vi), ..., t(vn) } is also a basis for V, so we first show that this set is linearly independent. Let ai, ,, an EIR such that ait(vi)+ ... + ant(vn) = 0. Since T is linear, T(a, N, +... + a, Nn)=0 and so a, N, +... + a, Nn & Ker (T). And sing T is one-to-one, Ker(T)= 803 so a,V,+...+ anVn=0. But EVIII..., Vn3 is linearly independent, so we must have  $\alpha_1 = \dots = \alpha_n = 0$ . Hence, ET(V,),..., T(Vn)3 is linearly independent as well. Now since Evining und is a basis for V, dim (V)= n. Then since ET(Vi), ..., T(Vn) 3 also has n elements and is linearly independent, this set must span I as well. Thus, ET(Vi), ..., T(Vn)3 is a basis of V.

**Ex. 7.** Let V be a vector space and  $S = \{v_1, v_2\}$  be a subset of V. Define  $T : \mathbb{R}^2 \to V$  by  $T(x_1, x_2) = 2x_1v_1 + 3x_2v_2.$ 

- (a) Prove that T is linear.
- (b) Prove that if S is linearly independent then T is one-to-one.
- (c) Prove that if Span(S) = V then T is onto.
- a) Let CEIR and  $X=(X_1, X_2), y=(Y_1, Y_2)$  be in  $IR^2$ . T(cx+y) = T(cx+y), (cx+y) = 2(cx+y), (cx+y), (cx+y), (cx+y), (cx+y) $= c(2x_1V_1 + 3x_2V_2) + (2y_1V_1 + 3y_2V_2) = cT(x) + T(y).$
- b) suppose that S is linearly independent. We went to show that T is one-to-one, so let T(x)=T(y) for some  $x=(x_1,x_2)$  and  $y=(y_1,y_2)$  in  $\mathbb{R}^2$ , Then  $2x_1y_1 + 3x_2y_2 = 2y_1y_1 + 3y_2y_2$  and subtracting gives  $(2x_1-2y_1)V_1+(3x_2-3y_2)V_2=0$ . Since  $\{\{v_1,v_2\}\}$  is linearly independent, we must have  $2x_1 - 2y_1 = 0$  and  $3x_2 - 3y_2 = 0$ . Thus,  $x_1 = y_1$  and  $x_2 = y_2$ . so x=y and T is one-to-one.
- C) Suppose that Span(S)=V. We want to show that T is onto, so let VEV. We want to find an XE 122 st. T(X)=V Scratchwork:  $T(X) = 2X_1V_1 + 3X_2V_2 = V$ Span(S)=V => V= C1V1+ C2V2 for some C1, C2 & 12 So we need  $2x_1 = C_1$  and  $3x_2 = C_2$ Then set  $X_1 = \frac{1}{2}C_1$  and  $X_2 = \frac{1}{3}C_2$ .

Since Span(S)=V, we can write V= C1V1+C2V2 for some C1, C2 E1R. Let  $X_1 = \frac{1}{2}C_1$  and  $X_2 = \frac{1}{3}C_2$ , then for  $X = (X_1, X_2)$  we have  $T(X) = 2(\frac{1}{2}C_1)V_1 + 3(\frac{1}{3}C_2)V_2 = C_1V_1 + C_2V_2 = V$ Thus, T is onto.

One-to-one, Onto, and Dimension: Let  $T:V\to W$  be linear.

- T is one-to-one if and only if nullity(T) = 0. (Ex. 5)
- If  $\dim(W) < \infty$ , T is onto if and only if  $\operatorname{rank}(T) = \dim(W)$ .

Rank-Nullity Theorem (Dimension Theorem): rank(T) + nullity(T) = dim(V)

Challenge: Explain how the following "two-out-of-three theorem" follows from the Rank-Nullity Theorem and the two results above.

Two-out-of-three Theorem for Linear Transformations: Let  $T:V\to W$  be a linear transformation, and suppose that at least one of V, W is finite-dimensional. If any two of the following conditions hold, then all

- three hold (and so T is an isomorphism).

  (1) T is one-to-one. |  $\frac{1}{2}$  =  $\frac{1}{2}$  null ity (T)=0, rank (t)=dim(w) =  $\frac{1}{2}$  dim(w) + 0 = dim(v) (1) T is one-to-one.
  - (2) T is onto.
  - (3)  $\dim(V) = \dim(W)$ .

(3) 
$$\dim(V) = \dim(W)$$
.  
183 =>  $\operatorname{rank}(T) + 0 = \dim(W) = \dim(W)$ 

**Ex. 8.** Let A be a  $4 \times 6$  matrix with real coefficients.

- $A = \begin{bmatrix} \alpha_{11} & \alpha_{12} & \cdots & \alpha_{1b} \\ \alpha_{21} & \cdots & \cdots & \alpha_{qb} \end{bmatrix} \begin{bmatrix} x_1 \\ y_1 \\ y_2 \\ \vdots \\ y_{q} \end{bmatrix}$
- (a) Can the columns of A be linearly independent? (b) Prove that the nullity of A is at least 2.
- (c) Does the equation  $A\vec{x} = \vec{0}$  have a unique solution?
- (d) Assume that the nullity of A is exactly 2. What does this imply about the rank of A?
- a) The columns of A form a set of six vectors in IRY. Since dim(IRY)=4, this set cannot be linearly independent.
- b) Since A is 4x6, A maps V= 1/26 into W=1/29

Then from the rank-nullity theorem, rank (A) + nullity (A) = 6,

Since the Im(A) is a subspace of IR4, the possible values for rank(A) are 0,1,2,3, or 4. Hence, the possible values for nullity (A) are

6,5,4,3, or 2. So, the nullity 10 at least 2.

- c) Since the nullity is at least 2, the Kernel of A is not trivial. Thus, the equation  $A\vec{x} = \vec{0}$  does not have a unique solution.
- d) If nullity (A) = 2 then rank (A) = 4.

**Ex. 9.** Suppose that  $T: \mathbb{R}^n \to \mathbb{R}^n$  is a linear map such that  $||T(\mathbf{x})|| = ||\mathbf{x}||$  for all  $\mathbf{x} \in \mathbb{R}^n$ . Prove that T is

We first show that T is one-to-one by showing ker (T)= 803. Let X = ker (T). Then  $T(x)=\overrightarrow{0}$  and so  $||T(x)||=||\overrightarrow{\delta}||=0$ , then sine ||T(x)||=||x||, we  $\|\chi\|=0$ , Hence,  $\chi=\overline{\partial}$ , So, Ker(T) =  $\{\overline{\partial}\}$  and T is one-to-one. And since  $T:\mathbb{R}^n\to\mathbb{R}^n$  and clearly  $\dim(\mathbb{R}^n)=\dim(\mathbb{R}^n)=n$ , T must also have be onto. Thus, T is an isomorphism.

**Ex. 10.** Let  $P_2 = \{a + bt + ct^2 : a, b, c \in \mathbb{R}\}$  and  $T : P_2 \to \mathbb{R}^2$  be defined by  $T(p) = \begin{bmatrix} p(1) \\ p'(1) \end{bmatrix}$ .

- (a) Prove that T is linear.
- (b) Find a basis for the kernel (or null space) of T.
- (c) Find the rank and nullity of T.
- (d) Is T one-to-one? Is T onto? Justify your answers.

a) Let 
$$p_1 q + p_2$$
 and  $p_1 q + p_2$  and  $p_2 q + p_3 q + p_4 q + p_4 q + p_5 q + p_6 q + p$ 

Thus, T is linear.

b) Let 
$$\rho(t) = a + bt + ct^2 \in \ker(t)$$
. Then  $\tau(\rho) = \begin{bmatrix} \rho(1) \\ \rho(1) \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$  and so  $\rho(1) = a + b + c = 0$ 

b) Let  $\rho(t) = a + bt + ct^2 \in \ker(t)$ . Then  $\tau(\rho) = \begin{bmatrix} \rho(1) \\ \rho(1) \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$  and so  $\rho(1) = a + b + c = 0$ 

$$b + 2c = 0$$

$$b + 2c = 0$$

$$c + c = 0$$

Then  $p(t) = c + -2ct + ct^2 = c(1-2t+t^2)$ . So  $\{ [-2t+t^2] \}$  is a basis of ker(t).

- c) By part b, nullity(t)=1 and since dim(P2)=3, rank(t)=2 by the rank-nullity theorem.
- d) Since nullity (T)= 1+0, T is not one-to-one. Since dim(IR2)=2=rank(T), T is onto.

## Additional Problems

- **Ex. 11.** Let V be a vector space. Suppose that  $\{u, v, w\}$  is a basis of V. Is the set  $\{u + 2v, v + 2w, u + 2w\}$ also a basis of V? Justify your answer. Use the strategy from [].
- **Ex. 12.** Let  $V_1$  and  $V_2$  be vector spaces and let  $T: V_1 \to V_2$  be a linear transformation. For  $W_2 \subseteq V_2$ , let  $W_1 = \{v \in V_1 : T(v) \in W_2\}$ . Show that if  $W_2$  is a subspace of  $V_2$  then  $W_1$  is a subspace of  $V_1$ . Similar to  $E_{X_1}$  13 b.
- **Ex. 13.** Let  $T:V\to W$  be a linear transformation between vector spaces V and W. Prove the following.
- (a) The kernel of T is a subspace of V. See Prop 2.3.2 in lext for a proof (b) The image of T is a subspace of W.
- Ex. 14. Let  $A = \begin{bmatrix} 1 & -1 & 1 & 3 \\ -1 & 1 & 0 & -2 \\ 2 & -2 & 4 & 11 \end{bmatrix}$ . Find bases for the column space and null space of A. Similar to  $\mathbf{t}$
- **Ex. 15.** Let  $T: \mathbb{R}^3 \to P_2(\mathbb{R})$  be defined by  $T(a_1, a_2, a_3) = (a_1 a_2) + a_2 x + (a_1 + a_3) x^2$ . Prove that T is an isomorphism. Note: him (123) = dim(P2) so only need to show 1-1
- Ex. 16. Let V and W be vector spaces and let T be a linear transformation from V to W. Suppose that T is one-to-one and  $\{v_1, v_2, \dots v_n\}$  is a set of n linearly independent vectors in V. Prove that  $\{T(v_1),T(v_2),\ldots T(v_n)\}$  is linearly independent in W. Similar to Ex. b
- Ex. 17. Let V and W be vector spaces and  $T:V\to W$  be a linear transformation. Suppose that  $\{T(v_1), T(v_2), \dots T(v_n)\}\$  is linearly independent.
- (a) Prove that  $\{v_1, v_2, \dots v_n\}$  is linearly independent. Start with  $a_1 v_1 + \dots + a_n v_n \ge 0$  and take T of both sides
- (b) Suppose in addition that  $\operatorname{Span}(\{v_1, v_2, \dots v_n\}) = V$ . Prove that T is one-to-one. Let  $X = a_1 V_1 + \cdots + a_n V_n \in V$
- **Ex. 18.** Let V and W be vector spaces and  $T: V \to W$  be a linear transformation. For  $c \in \mathbb{R}$  with  $c \neq 0$ , define a map  $S: V \to W$  by S(v) = cT(v). Prove that if T is onto then S is onto as well. Let S(v) = W S(v) = W. Ex. 19. Let  $V = M_{2\times 2}(\mathbb{R})$  be the vector space of  $2\times 2$  matrices with real coefficients and  $W = P_2(\mathbb{R})$
- be the vector space of polynomials of degree less than or equal to 2. Suppose that  $T:V\to W$  is a linear dim(W)=3 transformation. dim (1)= 2.2=4
- transformation. dim (1)= 2.2= 4 dim (2)= 3

  (a) Explain what is meant by the kernel, or null space, of T.

  (b) What are the possible values of the nullity, or the dimension of the kernel, of T? Justify your answer. 1,2,3, or 4
- (c) Can T be one-to-one? Can T be onto?
- **Ex. 20.** Let  $T:V\to W$  be an isomorphism between finite dimensional vector spaces V and W. Let U be a subspace of V and let  $T(U) = \{T(u) : u \in U\}$ . Prove that  $\dim(U) = \dim(T(U))$ .

Let Eurny 4n3 be a basis for U and show that ST(41), ..., T(4n)3 1s a basis for T(0)